

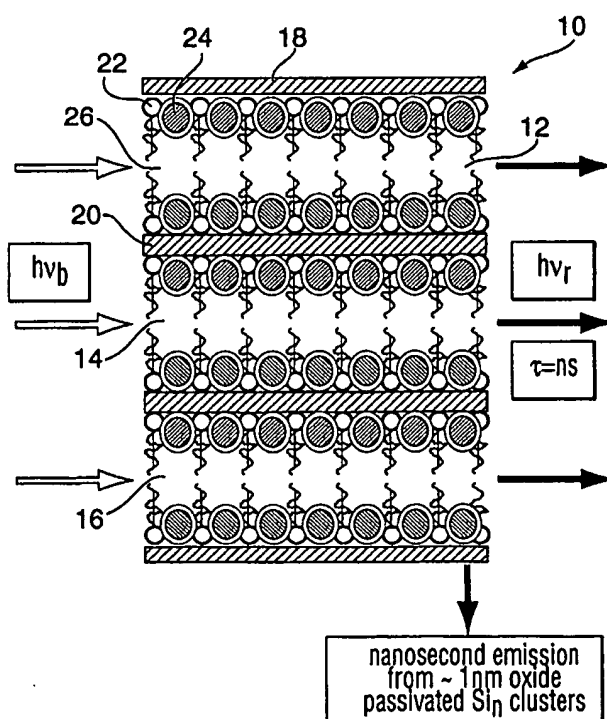
PCTWORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6 : H01L 33/00	A1	(11) International Publication Number: WO 99/65085 (43) International Publication Date: 16 December 1999 (16.12.99)
(21) International Application Number: PCT/CA99/00521 (22) International Filing Date: 4 June 1999 (04.06.99) (30) Priority Data: 09/090,975 5 June 1998 (05.06.98) US (71) Applicant: THE GOVERNING COUNCIL OF THE UNIVERSITY OF TORONTO [CA/CA]; Simcoe Hall, 27 King's College Circle, Toronto, Ontario M5S 1A1 (CA). (72) Inventors: DAG, Omer; Apartment 1402, 10 Walmer Road, Toronto, Ontario M5R 2W4 (CA). OZIN, Geoffrey, A.; 63 Gormley Avenue, Toronto, Ontario M4V 1Y9 (CA). YANG, Hong; Apartment 1714, 30 Charles Street West, Toronto, Ontario M4Y 1R5 (CA). (74) Agent: HIRONS, Robert, G.; 18th floor, 150 Metcalfe Street, Ottawa, Ontario K2P 1P1 (CA).		(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG). Published <i>With international search report.</i>

(54) Title: FAST LUMINESCENT SILICON**(57) Abstract**

There are provided mesoporous silica materials containing in their pores stabilized clusters of silicon atoms, of size 2 nanometers or less, and capable of photoluminescence to emit fast photons. They are prepared by chemical vapour deposition of silicon or a silicon precursor such as disilane, under soft conditions such as temperature of 100–150 °C, into the mesopores of silicate films which have mesoporous channels prepared by growth of the films using a template to control their sizes, but without removing the template residues from the films prior to the chemical vapour deposition. The template residues serve to limit the size of the silicon clusters which conform. The use of the soft conditions on CVD retains the template residues in an intact, substantially unchanged form. The resultant films have clusters of silicon, of 2 nanometer size or less, anchored to the mesopores, and air stable, so that they can be used in optoelectronic devices in conjunction with standard silicon semiconductors.



FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakhstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

WO 99/65085

PCT/CA99/00521

FAST LUMINESCENT SILICON

5 Field of the Invention

This invention relates to optoelectronics. More specifically, it relates to novel materials comprising stabilized luminescent silicon clusters of a size less than 2 nanometers, which emit light with nanosecond lifetimes ("fast photons"), and to processes for preparing such materials.

Background of the Invention and Prior Art

Optoelectronics is the term given to the process whereby electricity is inputted to a substance and light is outputted from the substance as a consequence. From the time crystalline silicon was recognized as the dominant material in microelectronics, there has been intensive research to develop light emission from silicon, to enable the development of silicon-based light emitting devices (LEDs) for optoelectronics. Under normal circumstances and conditions, silicon is an indirect band gap semiconductor, unable to emit light efficiently or fast. However, fast emitting photons move at a speed which is about 1000 times that of electrons. Moreover, photons are not affected by magnetic fields, and photon beams can cross one another without mutual interference. These features provide great incentive to develop photonics in place of electronics in many applications. Accordingly, the quest for light emitting silicon-based materials, capable of emitting light efficiently and fast, remains a major research activity.

Canham, L.T. "Silicon Quantum Wire Array Fabrication by Electrochemical Dissolution of Wafers", Appl. Phys. Lett. Vol. 57, pages 1046-1048 (1990) disclosed the efficient room temperature photoluminescence in electrochemically etched silicon or porous silicon. Typically, porous silicon emits light with photon energy twice as large as the band gap energy in crystalline silicon, and the emission can be easily tuned over hundreds of

WO 99/65085

PCT/CA99/00521

- 2 -

millielectron volts. Electroluminescence in porous silicon has been demonstrated in a variety of devices with an electrolyte, conducting polymer and thin transparent or semitransparent metallic contacts, in a variety of papers.

5

There are however several potential problems with porous silicon as a source of photoluminescence. Whilst the room temperature photoluminescence quantum efficiency can reach 5%, it degrades in ambient conditions in an hour, mostly caused by high porosity and fragility, unstable hydrogen surface passivation and poor thermal conductivity. The electroluminescence efficiency compared to the photoluminescence efficiency was reported to be more than 100 times less, and the electroluminescence degradation occurs even faster. There are serious problems with the possible integration of porous silicon into standard microelectronic circuitry. Published data indicates that porous silicon is an irregular network of connected silicon nanocrystals with typical sizes of 2-5 nanometers.

Canham, Op. Cit., and Leahmann and Gosele, "Porous Silicon Formation: A Quantum Wire Effect", Appl. Phys. Lett., Vol. 58, pages 856-858 (1990), have suggested that room temperature photoluminescence from porous silicon originates from quantum and spatial confinement effects. The silicon units in porous silicon have a size in the mesoscopic range (2-50 nanometers, nm). Photoluminescence emissions from such unit sizes are in the millisecond - microsecond range, which is too slow for use in LEDs.

25

It is theoretically predicted that silicon clusters of size 2 nm or smaller (corresponding to clusters of about 20 silicon atoms or smaller) have significantly different electronic properties from larger sized such clusters, and in particular they have much faster photoluminescence, fast enough to be attractive in photoelectronic applications i.e. they are capable of emitting "fast photons".

30

WO 99/65085

PCT/CA99/00521

- 3 -

Yang, Coombs, Sokolov and Ozin, "Nature" Vol. 381, pages 589-592 (June 13, 1996) describe the preparation of oriented mesoporous (pore size 2-5 nanometers, nm, 20 - 50 Å) silica films grown by surfactant templating at the interface between air and water. The reported films are continuous and are resilient enough to withstand bending, whilst being sufficiently flexible to be transferred onto substrates of different shapes. The films have parallel channels of generally hexagonal cross-section, running predominantly parallel to the surface. They can be prepared under acidic aqueous conditions using carefully controlled mole ratios of water, hydrochloric acid, cationic surfactant and silica source reagent (tetraethylorthosilicate). This mixture is stirred at room temperature and the film is allowed to form under static conditions at the air-liquid interface at 80°C over a reaction time of minutes to days. The channels are hexagonally close packed with a center-to-center distance of about 5 nm which, allowing for wall thickness, provides channel diameters of about 4 nm. The film forms by a mechanism in which, in the aqueous solution, the silicate building blocks associate with the polar head portion of the surfactant molecules, with the non-polar-hydrophobic tail portions of the surfactant disposed radially inwardly to form a micelle, with a "shell" of silicate building blocks. The silicate building blocks undergo condensation polymerization to form silica, creating hexagonally close packed channels with silica walls.

It is an object of the present invention to provide novel photoluminescent silica-silicon materials capable of fast photon emissions.

It is a further object of the invention to provide methods of preparing such materials.

Summary of the Invention

According to a first aspect of the present invention, there are provided inorganic, mesoporous electrically insulating materials containing in their pores stabilized clusters of silicon atoms, of size two nanometers or less,

WO 99/65085

PCT/CA99/00521

- 4 -

and capable of photoluminescence to emit fast photons.

According to another aspect of the present invention, there is provided a process for making inorganic mesoporous electrically insulating materials having clusters of silicon atoms bound and stabilized in the mesopores thereof, said silicon clusters having a size not greater than about two nanometers, which comprises the deposition of atomic silicon or a silicon precursor compound into the mesopores of an inorganic mesoporous insulating material, in the presence of surfactant residues contained in the mesopores, chemically anchoring the so-introduced silicon atoms to the mesopore walls, capping the free, reactive bonds of the silicon and causing clustering of the silicon at the interface with the silica, to a cluster size not exceeding about 2 nanometers.

Brief Reference to the Drawings

Figure 1 of the accompanying drawings is a diagrammatic illustration, in longitudinal cross-section, of a composition of matter according to a preferred embodiment of the present invention;

20

Figure 2 is a transverse cross-section of the material illustrated in Figure 1;

Figure 3 is a diagrammatic illustration of an optoelectronics unit incorporating a material according to the invention;

25

Figure 4 is the photoluminescence spectra of various materials from Example 2 below;

30

Figures 5A, 5B and 5C are, respectively, the temperature-dependent photoluminescence spectrum, luminescence, excitation and absorption spectra, and the polarized photoluminescence spectrum, of the

WO 99/65085

PCT/CA99/00521

- 5 -

material of Example 4 below;

Figure 6 is the photoluminescence decay curve of the material of Example 7 below; and

5

Figure 7 is the ^{29}Si and ^1H NMR, FT-Raman and IR spectra of the material of Example 8 below.

Description of the Preferred Embodiments

10

Suitable examples of inorganic, electrically insulating substances for the present invention are silicates, aluminosilicates, aluminophosphates and alumina, to all of which materials the preparative techniques described herein can be applied. Silicate is the preferred such substance, and so the invention will be further described with specific reference thereto, but without limitation thereto.

15

Previous processes reported by Ozin et al. in papers published in 1996/1998 reported the preparation of such mesoporous films, by using the surfactant template. Prior to undertaking chemical vapor deposition (CVD) of a silicon precursor (disilane, Si_2H_6), however, the surfactant was removed from the mesopores. As a consequence, the size to which the silicon clusters could eventually grow was limited only by the size of the mesopores, so that silicon clusters greater than 2 nanometers and larger were formed, which do not exhibit the desirable photoelectronic properties of fast emission. In the present invention, according to one preferred embodiment, the surfactant is left in place. It is disposed within the channels, with the polar head portions at the silica surface. The silane molecules, on introduction by CVD or other appropriate techniques, migrate to the polar surfactant - silica interface, where they react with silanol groups. Here, their potential size growth is limited. The silica sidewalls of the channels have large numbers of residual, attached hydroxy groups resulting from their formation by condensation polymerization. On

25

30

WO 99/65085

PCT/CA99/00521

- 6 -

encountering disilane, the proton of these hydroxide groups and a hydride hydrogen of disilane combine to generate hydrogen, and the silicon bonds to the site of the former hydroxyl group, to anchor the silicon to silica wall structure. Thermal hydrogen elimination causes this reaction to occur and
5 effects clustering of the silicon atoms, with decomposition of the disilane to silicon.

The silicon cluster surface atoms so formed have residual reactive bonds, known as "dangling bonds", containing odd electrons, which are
10 reactive. If left alone, the dangling bond electrons will interfere with the photoluminescence process. When an electron of the silicon absorbs energy to raise it to an excited quantum state, there are several pathways it may follow to lose the energy of excitation, and to revert to the ground state. The emission of photons is only one of these pathways. Others of these pathways are by
15 transferring the energy to the dangling bond sites and by dissipation of that energy into the phonones (or vibrations) of the cluster, ultimately in the form of heat, known as a non-radiative pathway. To maximize the efficiency of the photon emission, therefore, the other non-radiative pathways such as energy transferred by the dangling bond sites should be minimized. This is achieved,
20 in a preferred embodiment of the present invention, by capping this dangling bond with hydrogen and/or oxygen.

Thus, the invention in one embodiment provides nanosecond photoluminescence from silicon clusters in the channels of free-standing
25 oriented hexagonal mesoporous silica film. It also provides a method for their preparation by a new mild chemical vapour deposition procedure. In a preferred embodiment, disilane is anchored to silica channel SiOH wall sites of the oriented hexagonal mesoporous silica film that retains imbibed surfactant template in the channels.

30

The synthesis of the oriented hexagonal mesoporous silica film is generally as described in Yang, H., Coombs, N. and Ozin, G.A., "Thickness

WO 99/65085

PCT/CA99/00521

- 7 -

Control and Defects in Oriented Mesoporous Silica Films", J. Mater. Chem. Vol. 8, pages 1205-1211 (1998), which is incorporated herein by reference. Disilane chemical vapor deposition generally as described in previous publications such as Chomski, E., Dag, O., Kuperman, A., Coombs, N. and Ozin, G.A., "New
5 Forms of Luminescence Silicon: Silicon-Silica Composites Mesostructures", Ad. Mater. Chem. Vap. Deposition, Vol. 2, pages 8-13 (1996), and Dag, O., Kuperman, A., and Ozin, G.A., "Germanium Nanoclusters: Chemical Vapour Deposition of Diagermane in Zeolite Y and Mordenite," Adv. Mater. Vol. 6, 147 - (1994), both of which disclosures are incorporated herein by reference.

10 However, softer conditions, namely a temperature of 100-150°C as compared with previously used temperatures of 300°C, can be adopted in processes of the present invention. The softer conditions of CVD in the present invention may be attributed to the fact that the silica of the mesoporous host is partially polymerized and the surfactant template is retained within the channels. These
15 softer conditions of CVD also ensure that substantial numbers of hydroxyl groups remain on the silicate walls of the mesopores, to act as anchoring sites for the silicon clusters

The combined effect is that the hydrophobic tails of the imbibed
20 surfactant enable adsorption of disilane into the film, the high population of HO-Si wall sites enhances anchoring of disilane, and clustering of silicon is limited to the available space between surfactants in the channels, thereby effecting limitation on the size to which the clusters can grow, and limiting them to a size of about 2 nanometers or less.

25

The cluster sizes of the silicon clusters in the materials of the present invention have been confirmed to be of diameter 2 nanometers or less, by 5 different forms of spectroscopic measurements, namely, optical absorbance onset energy, photoluminescence maximum energy, NMR ²⁹Si
30 chemical shift, Fourier transform Raman frequency shift, and luminescence lifetimes. Preferably the clusters in the materials of the present invention have an average diameter size less than 2 nanometers, e.g. less than 1.8 nm.

WO 99/65085

PCT/CA99/00521

- 8 -

The silicon clusters in the materials of the present invention are so small that their photoelectronic properties are changed as compared with those of prior art materials. These changes are characterized by the capability to emit fast photons on photoluminescence. This is as a result of the fact that the clusters are so small that the regular diamond silicon lattice has been altered, modified or strain. It is believed that the normal silicon diamond lattice structure changes when silicon clusters of smaller than 20 nanometers, which correspond to clusters of less than about 20 atoms. This change may be a variation, rearrangement, modification or strain of the regular lattice structure, but in any event has significant effects on the photoelectronic properties such as introducing the ability to emit fast photons.

Referring to Figure 1 of the accompanying drawings, this diagrammatically illustrates, in cross-section, a thin film according to the present invention, generally designated 10, and comprising three mutually parallel channels 12, 14, 16 extending therethrough. As viewed in end section, Figure 2, the channels are arranged in a hexagonally close-packed structure.

Each channel is delineated by silica walls such as 18, 20, of approximate thickness 1 nm. The diameter of each channel is of the order of 4 nm.

Inside each channel, and adjacent to the interior wall surface, is a series of surfactant molecules 22 with their hydrophilic head portions adjacent the surface walls 18, 20. Interspersed between the surfactant molecules 22, at their head ends, are silicon clusters 24 of size not greater than about 2 nm. The silicon clusters 24 are constrained in size by the adjacent surfactant molecules 22 and the adjacent channel walls 18, 20 so that they cannot grow to a size above about 2 nm.

30

The hydrophobic chain portions 26 of the surfactant molecules are disposed in a direction towards the center of each channel 12, 14, 16 etc.,

WO 99/65085

PCT/CA99/00521

- 9 -

as illustrated in Figure 1 and Figure 2. In the array as illustrated, they act as spacers and size limiters for the silicon clusters 24. In preparation of the materials according to the invention, as described below, they act as templates, for arranging the pore size and structure of the mesopores in the silicate material.

In preparation of the materials according to the present invention, it is preferred to follow the procedures previously outlined and referenced. A polymerizable silicate derivative such as tetraethylorthosilicate, tetramethylorthosilicate, tetrapropylorthosilicate and the like, a surfactant such as cetyltrimethyl ammonium chloride and hydrochloric acid in appropriate ratios are mixed in aqueous solution and stirred at room temperature. The hydrochloric acid hydrolyses the ethoxy groups of the tetraethylorthosilicate to hydroxyl groups. The proper adjustment of the molar ratios of the acid, silicate, surfactant and water ensures the formation of film-shaped, solid material at the water-air interface. Other shapes can be arranged by suitable adjustment of these molar ratios. The final mixture is transferred into a plastic bottle of appropriate diameter and allowed to achieve quiescent state. Stirring is limited, so as to retain the micelles intact to promote the formation of films. Mesoporous silica growth is allowed to proceed for a period of hours to days, under static conditions, at room temperature. Depending upon the initial time period and stirring rate for the mixing, different optical birefringence textures are observed.

Alternative, suitable silicate derivatives for use in making the films include alkyl-trialkoxysilicates, such as methyltriethoxysilane which will leave residual methyl groups in the channels which may exert a further space limiting effect on cluster growth; fumed silicas such as Cab-O-Sil, and sodium silicate (waterglass).

In the process, the surfactant forms micelles with the hydrophobic tails directed inwardly and the hydrophilic heads directed outwardly and associated with the silicate particles. A wide choice of suitable surfactants is

WO 99/65085

PCT/CA99/00521

- 10 -

available, including cationic, neutral, anionic and zwitterionic surfactants, or mixtures thereof. Condensation polymerization of the silicate particles occurs to form silica, in hexagonal close packed tubular form, the hexagonal formation being derived from the templating ability of the surfactant, and the size of the mesopores being determined by the length of the hydrophobic tails on the surfactant. By choice of different surfactants with different lengths of alkyl groups comprising their tails, adjustment of the size and diameter of the mesopores to within about 0.1 nm can be arranged.

Examples of suitable cationic surfactants include alkyl quaternary ammonium salts in which the alkyl chain has from 8 to 30 carbon atoms, for example cetyl ammonium chloride or bromide cetyltrimethylammonium chloride or bromide; cetylpyridinium chloride or bromide and the like. Suitable neutral, non-ionic surfactants include polyoxyethylene-10-laryl ether. Further control of the size of the micelles formed by the surfactant and hence the size of the mesopores can be exercised by including one or more swelling agents (hydrophobic, inert liquids such as mesitylene or cyclohexane) in the aqueous medium from which the materials are made.

The film may be made in free-standing form. Films so formed may be lifted intact off the water surface. They may be formed on substrates as the films are produced, e.g. by placing the substrate on top of the aqueous liquid surface so that micelles at the liquid surface effect adhesion to the substrate surface as the film forms. They may be used as self-supporting films, or subsequently mounted on a substrate such as mica, silicon in the form of a silicon chip, graphite and the like. The film is dried before proceeding further.

After formation of the film, silicon or a silicon precursor (monosilane SiH_4 , disilane Si_2H_6 , trisilane Si_3H_8 and the like) is introduced into the mesopores by a process of chemical vapor deposition. The general technique is as previously described and referenced. Temperatures of about 140°C are used in the CVD step of the present invention, and the residual

WO 99/65085

PCT/CA99/00521

- 11 -

surfactant is not removed. The loading of silicon into the mesochannels is controlled by the pressure of disilane or other silicon precursors supplied, and by the time of exposure and the temperature at which the silicon material is supplied. The CVD introduction of the disilane effects thermal treatment of the samples to induce a series of dihydrogen elimination and silicon clustering reactions, and capping reactions by hydrogen and oxygen. Accordingly all these processes can be accomplished in a single step at 100-150°C, conferring significant economic advantages on the process of the invention. This suitably involves heating the sample to about 150°C.

10

Previous processes of preparing such films, referenced earlier, involved a step of driving out the surfactant, template residues from the mesopores of the film structure. This was accomplished by heating the films, e.g. to a temperature of about 550°C. In addition to driving out the surfactant residues, however, this also had the effect of removing the hydroxyl groups from the inner pore surfaces of the silica film, thereby losing potential chemical anchoring sites for the subsequently introduced silane compound. The process of the present invention involves no such step. The films are simply removed from the liquid preparation medium and dried to remove residual water, e.g. by air drying at room temperature and then by vacuum dehydration at 100-150°C. The surfactant template residues remain in place, and perform the function of limiting the size to which the subsequently formed silicon clusters can grow, to a size of 2 nm or less. In addition, the omission of the high-temperature heating step results in the retention of hydroxyl anchoring sites for the silicon precursor (normally disilane) in the silica pores, for higher loading of the films with silicon clusters of the appropriate, nanometer size.

25

The residual surfactant in the mesopores of the silica films of the present invention, after the film has been formed, acts effectively as a size-limiting barrier material. It is chemically inert, as regards the vapour deposition process of introduction and anchoring of the disilane or other silicon precursor, and as regards the formation of the silicon clusters, except to limit their growth.

30

WO 99/65085

PCT/CA99/00521

- 12 -

It is inert in the subsequent photoluminescence of the cluster-containing films. It has a major, active role to play in the formation of the pore structure of the films, as previously described, but only a passive role to play in the subsequent preparation of the silicon clusters and in the uses of the materials in

5 optoelectronic devices. Accordingly, the residual surfactant in the materials of the present invention can be substituted by other inert materials which will adopt the same position and location within the mesopores of the film, to limit the sizes of the silicon clusters. Thus other methods of making the films of the present invention using templates other than surfactants, and which will leave

10 appropriate inert residues and fulfill the size-limiting function described above, are within the scope of the invention. Examples of such materials include block copolymers such as those disclosed in Science 1998, Vol. 279, page 548, Stucky, G.D. et al. Preferred are those which act as templates to limit pore sizes to diameters less than about 10 nm, so as to encourage formation of

15 clusters of very small size. Similarly, materials comprising mesoporous silica films prepared using surfactant templates but from which the surfactant residues have been removed and replaced by other molecules performing the same size-limiting function for the silicon clusters, are also within the scope of the present invention.

20

The present invention also embraces the co-deposition of other metals such as germanium along with the silicon, effectively to tune the band gap between the excited state and the ground state of the electrons within the clusters in the material. Silicon in bulk form is an indirect band gap

25 semiconductor, meaning that the process of creation of photonic emissions as an electron reverts from an excited state to a ground state is of low probability and inefficient. The optoelectronics of silicon can be improved by reducing the size of the silicon units down to the nanometer range and by making co-clusters of silicon with other, more efficient optoelectronic materials such as germanium.

30 Methods of chemical vapour co-deposition of mixed materials such as silicon and germanium are known in the art (see for example Dag, O., Kuperman, A., and Ozin, G.A., Mater. Res. Soc. Symp. Proc., Vol. 358, 87-92 (1995),

WO 99/65085

PCT/CA99/00521

- 13 -

incorporated herein by reference).

The provision of air-stable silica films containing nanometer-sized clusters of silicon atoms according to the present invention allows the manufacture of optoelectronic devices based on silicon/silica emission structures, compatible with existing silicon-silica semiconductor manufacturing procedures and facilities. Once silicon clusters in the nanometer size range can be produced in films exhibiting reasonably good structural integrity, and air stability, as in the present invention, one can avoid the use of efficient photoemitters such as gallium arsenide, the previously preferred candidate material for optoelectronic use. While gallium arsenide is an efficient photoemitter, it is a most unpleasant, toxic material to prepare and handle, and it is not compatible with silicon devices currently in mass production and for which fabrication techniques are well established. Materials of the present invention show significant advantages in this respect.

Films according to the present invention may be incorporated into a wide variety of optoelectronic devices, for example in association with fibre-optic transmission systems. An example of a structure incorporating a film according to the invention is diagrammatically illustrated in accompanying Figure 3, a cross-sectional view. The film 10 according to the invention is mounted on a silicon semiconductor 30 and overlaid by an optically transparent electrode 32, e.g. a thin layer of gold, indium/tin oxide, etc., of known type. A metal electrode 34 underlies the silicon semiconductor 30. Application of appropriate current and/or voltage across the electrode 32,34 causes electroluminescence from the film 10.

Whilst the preferred embodiment of the invention described and illustrated herein in detail is a mesoporous silicate film having close-packed hexagonal pores extending generally parallel to the surface of the film, the invention is not limited to such structures. As mentioned previously, other shapes and structures (morphology) can be made which contain suitable

WO 99/65085

PCT/CA99/00521

- 14 -

mesopores for reception and anchoring therein of appropriately sized silicon clusters for the present invention. The hexagonal, close-packed arrangement of channels in the film-shaped embodiments of the invention is also an optional feature. A variety of other shapes and orientations of the mesoporous channels can be made as the film is prepared, by controlling the growth of the films. The preparation and control of growth of such films, and the preparation of other shapes, is described in G.A. Ozin et al., "Blueprints for Inorganic Materials with Natural Form: Inorganic Liquid Crystals and a Language of Inorganic Shape", J. Chem. Soc. Dalton Trans., 1997, pages 3941-3952. Other such shapes, structures and morphologies include cubic; disordered (molten or sponge-like); lamellar, parallel sheets; and fibers, in which the mesopores run in the longitudinal direction to form luminescent optical fibers or light pipes, containing fast-luminescent silicon. All such structures have the common feature of containing mesopores with a narrow size distribution (mono-dispersity).

15

Powder forms of the product can also be made, in which particles of silicate with mesopores therein are prepared, e.g. by agitation of the solutions described herein for forming films, followed by introduction of silicon as a silicon precursor into the mesopores as described.

20

Moreover, chemical vapour deposition (CVD) is not the only method useful for introducing silicon or a silicon precursor into the mesoporous channels, in accordance with the invention. Other useful techniques include laser beam vaporization of silicon directly into the material.

25

The preparation of the materials according to the present invention in the presence of a substrate so that they deposit on the substrate as they are formed, described above, can be adapted to deposit the film by lithographic patterning, to make integrated circuits. Thus the substrates, such as mica, can be chemically pre-treated in a predetermined pattern so that the materials of the invention deposit thereon in the predetermined pattern as they form.

30

WO 99/65085

PCT/CA99/00521

- 15 -

The materials of the present invention can be made in the absence of surfactant or block copolymer templating, e.g. by the sol gel process known in the art, followed by introduction of silicon by CVD or other techniques. The mesopores of such products of the sol gel process can be treated, prior to
5 introduction of silicon, to absorb controlled quantities of inert materials such as surfactants or block copolymers into the mesopores to restrict the subsequent cluster size growth.

The invention is further described, for illustrative purposes, with
10 reference to the following specific examples.

Specific Description of the Most Preferred Embodiments

Example 1

15

5.89 g of a cetyltrimethylammonium chloride (CTACl) solution (29%) was mixed with 4.92 g of a HCl solution (36%) and 81.50 g of water. To this mixture was added 1.36 g of tetraethylorthosilicate (TEOS). The resultant mixture was stirred for 10 minutes at room temperature and then transferred to
20 a polypropylene bottle. The mixture was set in a quiescent state at room temperature for about 11 days. The free-standing film grown at the air-water interface was transferred to a substrate and dried in air. The disilane deposition was carried out in quartz or glass vacuum sealed cells by dehydration of the film from 25°C to 100°C for 2 hours and 3 hours at 100°C. Then 40 mg of disilane
25 was introduced and the reaction cell was heated to 125°C for 30 minutes and at 125°C for 15 hours. The resulting film sample is yellow in color and photoluminescent in the visible spectral region. The powder X-ray diffraction pattern of partly ground disilane deposited film clearly reveals the d_{100} , d_{110} and d_{200} reflections at 40.8 Å, 23.7 Å and 20.6 Å, respectively, diagnostic of a
30 hexagonal mesoporous silica structure. FTIR microscopy spectra of the samples display νSiH diagnostic bands of surface-passivated silicon clusters.

WO 99/65085

PCT/CA99/00521

- 16 -

Example 2

5.89 g of a cetyltrimethylammonium chloride (CTACl) solution (29%) was mixed with 4.92 g of a HCl solution (36%) and 81.50 g of water. To this mixture was added 1.36 g of tetraethylorthosilicate (TEOS). The resultant mixture was stirred for 10 minutes at room temperature and then transferred to a high density polyethylene bottle. The mixture was set in a quiescent state at room temperature for about 11 days. The film grown at the water-HDPE (high density polyethylene) interface was easily peeled off from the HDPP and transferred from the solution to a substrate and dried in air. The disilane deposition was carried out in quartz vacuum sealed cells by dehydration of the film from 25°C to 100°C for 2 hours and 3 hours at 100°C. Then 100 Torr of disilane was introduced and the reaction cell was heated at 140°C for 40 hours. The color of the resulting sample was yellow-orange in color.

Photoluminescence (PL) has been observed for the films. The PL spectrum is shown in Figure 4. On this Figure, curve A derives from a film sample of thickness about 10 microns, containing silicon clusters derived from disilane as described. Curve B derives from a similar film, but of thickness about 3 microns. Curve C derives from a similar film which had not been treated with disilane, and so contained no silicon clusters. FTIR displayed vSiH diagnostic modes of surface-passivated silicon clusters at around 2000-2300 cm⁻¹.

Example 3

5.83 g of a cetyltrimethylammonium chloride (CTACl) solution (29%) was mixed with 4.94 g of a HCl solution (36%) and 81.54 g of water. To this mixture was added 1.37 g of tetraethylorthosilicate (TEOS). The resultant mixture was stirred for 10 minutes at room temperature and then transferred to a polypropylene bottle. The mixture was set in a quiescent state at room temperature. The free-standing film grown at the air-water interface was transferred to a substrate and dried in air. The disilane deposition was carried after dehydration of the film sample from 25°C to 100°C for 2 hours and 3

WO 99/65085

PCT/CA99/00521

- 17 -

hours at 100°C. Then 40 mg of disilane was introduced and the reaction cell was heated for 30 min from 25°C to 125°C and 15 hours at 125°C. The resulting film sample was light yellow. Transmission electron microscopy images show the hexagonal mesostructure of the film after deposition.

5

Example 4

5.83 g of a cetyltrimethylammonium chloride (CTACl) solution (29%) was mixed with 4.94 g of a HCl solution (36%) and 81.54 g of water. To this mixture was added 1.37 g of tetraethylorthosilicate (TEOS). The resultant mixture was stirred for 10 minutes at room temperature and then transferred to a HDPE bottle. The mixture was set in a quiescent state at ambient room temperature. The film grown at the water-HDPE interface was easily peeled off from the HDPE and was transferred from the solution to a substrate and dried in air. The disilane deposition was carried out in quartz vacuum sealed cells by dehydration of the film from 25°C to 100°C for 2 hours and 3 hours at 100°C. Then 30 Torr of disilane was introduced and the reaction cell was heated at 140°C for 40 hours. The resulting film samples are yellow in color and yield orange PL. Temperature dependent PL, absorption-excitation-emission and polarized photoluminescence PL spectra are shown in Figure 5 characteristic of surface-passivated ~ 1 nm silicon clusters in the mesoporous silica film.

Example 5

5.89 g of a cetyltrimethylammonium chloride (CTACl) solution (29%) was mixed with 4.92 g of a HCl solution (36%) and 81.50 g of water. To this mixture was added 1.36 g of tetraethylorthosilicate (TEOS). The resultant mixture was stirred for 10 minutes at room temperature and then transferred to a polypropylene bottle. The mixture was set in a quiescent state at ambient room temperature for about 11 days. The film grown at the water-HDPE interface can easily peel off from the HDPE and was transferred from the solution to a substrate and dried in air. The disilane deposition has been

30

WO 99/65085

PCT/CA99/00521

- 18 -

carried in a quartz vacuum sealed cell by dehydration of the film from 25°C to 100°C for 2 hours and 3 hours at 100°C. Then 100 Torr of disilane was introduced and the reaction cell was heated at 140°C for 18 hours.

5 Example 6

5.89 g of a cetyltrimethylammonium chloride (CTACl) solution (29%) was mixed with 4.92 g of a HCl solution (36%) and 81.50 g of water. To this mixture was added 1.36 g of tetraethylorthosilicate (TEOS). The resultant mixture was stirred for 10 minutes at room temperature and then transferred to a high density polyethylene bottle. The mixture was set in a quiescent state at room temperature for about 11 days. The film grown at the water-HDPE interface can easily peel off from the HDPE and was transferred from the solution to a substrate and dried in air. The disilane deposition has been carried out in quartz vacuum sealed cells by dehydration of the film from 25°C to 100°C for 2 hours and 3 hours at 100°C. Then 100 Torr of disilane was introduced and the reaction cell was heated at 140°C for 24 hours. The color of the sample was bright yellow and yielded visible photoluminescence. For further deposition the reaction cell was evacuated and fresh 100 Torr of disilane was introduced and the reaction cell was heated for another two days at 140°C. The change on the color of the film was negligible.

Example 7

5.90 g of a cetyltrimethylammonium chloride (CTACl) solution (29%) was mixed with 4.96 g of a HCl solution (36%) and 81.76 g of water. To this mixture was added 1.34 g of tetraethylorthosilicate (TEOS). The resultant mixture was stirred for 10 minutes at room temperature and then transferred to a polypropylene bottle. The mixture was set in a quiescent state at room temperature for about 10 days. The free-standing film grown at the air-water interface was transferred to a substrate and dried in air. The disilane deposition was carried out in a quartz vacuum sealed cell by dehydration of the film from

WO 99/65085

PCT/CA99/00521

- 19 -

25°C to 100°C for 2 hours and 3 hours at 100°C. Then 100 Torr of disilane was introduced and reaction cell was heated at 140°C for 40 hours. The color of the film sample was yellow and photoluminesced in the visible spectral region. The photoluminescence lifetime of the silicon clusters in the film was
5 recorded at 80 K at 655 nm, Figure 6. The mean lifetime was found to be around 60 ns diagnostic of ~ 1 nm surface-passivated silicon clusters in the film.

Example 8

10 5.83 g of a cetyltrimethylammonium chloride (CTACl) solution (29%) was mixed with 5.02 g of a HCl solution (36%) and 81.51 g of water. To this mixture was added 1.32 g of tetraethylorthosilicate (TEOS). The resultant mixture was stirred for 10 minutes at room temperature and then transferred to a polypropylene bottle. The mixture was set in a quiescent state at ambient
15 room temperature for about 7 days. The free-standing film grown at the air-water interface was transferred to a substrate and dried in air. The disilane deposition was carried out on ground film samples in a quartz vacuum sealed cell by dehydration of the film from 25°C to 100°C for 2 hours and 3 hours at 100°C, after dehydration the amount of the sample was 55 mg. Then 100 Torr
20 of disilane was introduced and the reaction cell was heated at 140°C for 40 hours. Deposition was 27 mg. It displayed intense visible PL. ²⁹Si and ¹H NMR, FT-Raman and IR spectra (Figure 7), PXRD patterns and TEM images were collected and characterized ~ 1 nm surface-passivated silicon clusters in the mesoporous silica film. On Figure 7, the top curves are ²⁹Si-NMR and ¹H-
25 NMR, with the upper curve derived from the silicon cluster-containing film and the lower curve from the non-silane treated film. The curves in the inset portion are an enlargement of the silicon-proton region of the curves. The middle curve is the FT-Raman spectrum, the inset being an enlargement of the Si-Si region of the curve. The bottom curves are the IR spectra, the lower left curves
30 derived from (upper curve) a silicon cluster-containing film and from (lower curve) an untreated film. The lower right graphs show the fitting of the curves.

WO 99/65085

PCT/CA99/00521

- 20 -

Example 9

5.89 g of a cetyltrimethylammonium chloride (CTACl) solution (29%) was mixed with 4.92 g of a HCl solution (36%) and 81.50 g of water. To this mixture was added 1.36 g of tetraethylorthosilicate (TEOS). The resultant mixture was stirred for 10 minutes at room temperature and then transferred to a polypropylene bottle. The mixture was set in a quiescent state at room temperature for about 11 days. The film grown at the water-HDPP interface can easily peel off from the HDPP and was transferred from the solution to a substrate and dried in air. The disilane deposition was carried in a quartz vacuum sealed cell by dehydration of the film from 25°C to 100°C for 2 hours and 3 hours at 100°C. Then 100 Torr of disilane was introduced and reaction cell was heated at 140°C for 40 hours. The color of the film sample was yellow and photoluminesced in the visible spectral region.

15

WO 99/65085

PCT/CA99/00521

- 21 -

WE CLAIM:

1. Inorganic, mesoporous, electrically insulating materials containing in their pores stabilized clusters of silicon atoms, of average diameter
5 size 2 nanometers or less, and capable of photoluminescence to emit fast electrons.
2. Materials according to claim 1 wherein the inorganic material is silicate, aluminosilicate, aluminophosphate or alumina.
10
3. Materials according to claim 1 wherein the inorganic material is silicate.
4. Mesoporous silica materials according to claim 3 including inert
15 template residues within the mesopores and separating individual clusters of silicon atoms.
5. Mesoporous silica materials according to claim 4 wherein the inert template residues comprise surfactant residues.
20
6. Mesoporous silica materials according to claim 5 wherein the surfactant residues comprise a hydrophilic head portion and a hydrophobic extended chemical chain tail portion, radially disposed within the mesopore channels with the head portions adjacent the inner walls thereof.
25
7. Mesoporous silica materials according to claim 4, in the form of a film.
8. Mesoporous silica materials according to claim 7 wherein the
30 mesopores comprise channels extending generally parallel to the film surface.
9. Mesoporous silica materials according to claim 7 wherein said

WO 99/65085

PCT/CA99/00521

- 22 -

channels are hexagonal, close-packed.

10. Mesoporous silica materials according to claim 4 wherein the inert template residues comprise block copolymers.

5

11. A process of preparing inorganic mesoporous insulating structures having clusters of silicon atoms disposed in the mesopores thereof, said clusters having an average diameter size not greater than about 2 nanometers, which comprises depositing silicon or a silicon precursor compound into the mesopores of said structure, in the presence of inert pore-formation template residues, chemically anchoring the so-introduced silicon atoms to the silica mesopore walls, and effecting clustering of the silicon within the mesopores to a cluster size not exceeding about 2 nanometers average diameter.

15

12. A process according to claim 11 wherein the inorganic material is silicate, aluminosilicate, aluminophosphate or alumina.

13. A process according to claim 11 wherein the inorganic material is silicate.

20

14. A process according to claim 13 wherein the silicon or silicon precursor is deposited by chemical vapour deposition.

15. A process according to claim 14 wherein the chemical vapour deposition is sufficiently low to leave template residues in the mesopores substantially unaffected as regards composition, structure and location.

25

16. The process of claim 15 wherein the chemical vapour deposition temperature is from about 100°C to 150°C.

30

17. The process of claim 16 wherein the chemical vapour

WO 99/65085

PCT/CA99/00521

- 23 -

deposition temperature is about 140°C.

18. A process according to claim 15 wherein a silicon precursor compound is used in the chemical vapour deposition step, said silicon precursor
5 compound being a silicon hydride.

19. A process according to claim 18 wherein the silicon hydride is disilane.

10 20. A process according to claim 19 wherein the pore-formation template residues are surfactant molecules.

21. The process of claim 20 wherein the surfactant molecules are radially oriented in the mesopores of the film, with the polar portion of the
15 surfactant molecules adjacent to the silicate mesopore walls.

22. The process of claim 21 wherein the chemical anchoring and the clustering of the silicon atoms is effected by heating of the assembly caused by the chemical vapour deposition, thereby effecting chemical vapour
20 deposition, thermal hydrogen elimination from the silane and from hydroxyl groups on the silica mesopore surface in a single heating step.

23. The process of claim 22 including the step of capping the silicon dangling bonds by reaction with hydrogen and/or oxygen.

25

24. The process of claim 23 wherein the hydrogen for reaction with the silicon dangling bonds is generated in situ by the thermal hydrogen elimination from the silane and from the hydroxyl groups on the silica mesopore surface, as part of the same heating step.

30

25. The process of claim 24 wherein the mesoporous silica structure is in the form of a film, with the mesopore therein extending parallel to

WO 99/65085

PCT/CA99/00521

- 24 -

a major surface of the film.

26. A process of preparing mesoporous silica films having mesopores (diameters in the range 2-50 nanometers) extending parallel to the major surface of the film and containing clusters of silicon atoms of not more than 2 nm diameter chemically anchored to the inner walls of said mesopores, which comprises:
- preparing an aqueous mixture, under acid conditions of surfactant and silica source reagent, at predetermined mole ratios of water, acid, surfactant and silica source reagent;
 - forming a silicate film at the air-liquid interface of said aqueous mixture by conversion of the silica source reagent to silicate, said film having hexagonally close-packed, mesoporous channels extending parallel to the film surface;
 - removing the film from the aqueous medium and drying it;
 - introducing into said mesoporous channels, by chemical vapour deposition at a temperature of about 100-150°C of a silicon-hydride compound;
 - maintaining the film at about the chosen chemical vapour deposition temperature to cause thermal elimination of hydrogen from the silicon-hydride compound to form silicon, and from hydroxyl groups of the silicate mesoporous channels to effect anchoring of silicon to the walls of said channels and to effect clustering of the silicon atoms anchored in the mesoporous channels, to a size not exceeding about 2 nanometers;
 - and recovering the silicon cluster-containing mesoporous silicate film so formed.

27. The process of claim 26 wherein the silica source reagent is an organosilicon compound which undergoes condensation polymerization to silica under acidic conditions.

30

28. The process of claim 27 wherein the silica source reagent is tetraethylorthosilicate.

WO 99/65085

PCT/CA99/00521

- 25 -

29. The process of claim 26 wherein the surfactant is a cationic surfactant, with a hydrophobic portion corresponding in length to approximately the radius required in the mesoporous channels.

5 30. The process of claim 29 wherein the cationic surfactant is cetyltrimethylammonium chloride.

 31. The process of claim 29 wherein the silicon hydride compound introduced by chemical vapour deposition is disilane.

10

 32. The process of claim 31 including capping dangling bonds on the silicon by reaction with hydrogen and/or oxygen as part of the process of maintaining the film at about the chosen chemical vapour deposition temperature.

WO 99/65085

PCT/CA99/00521

1/6

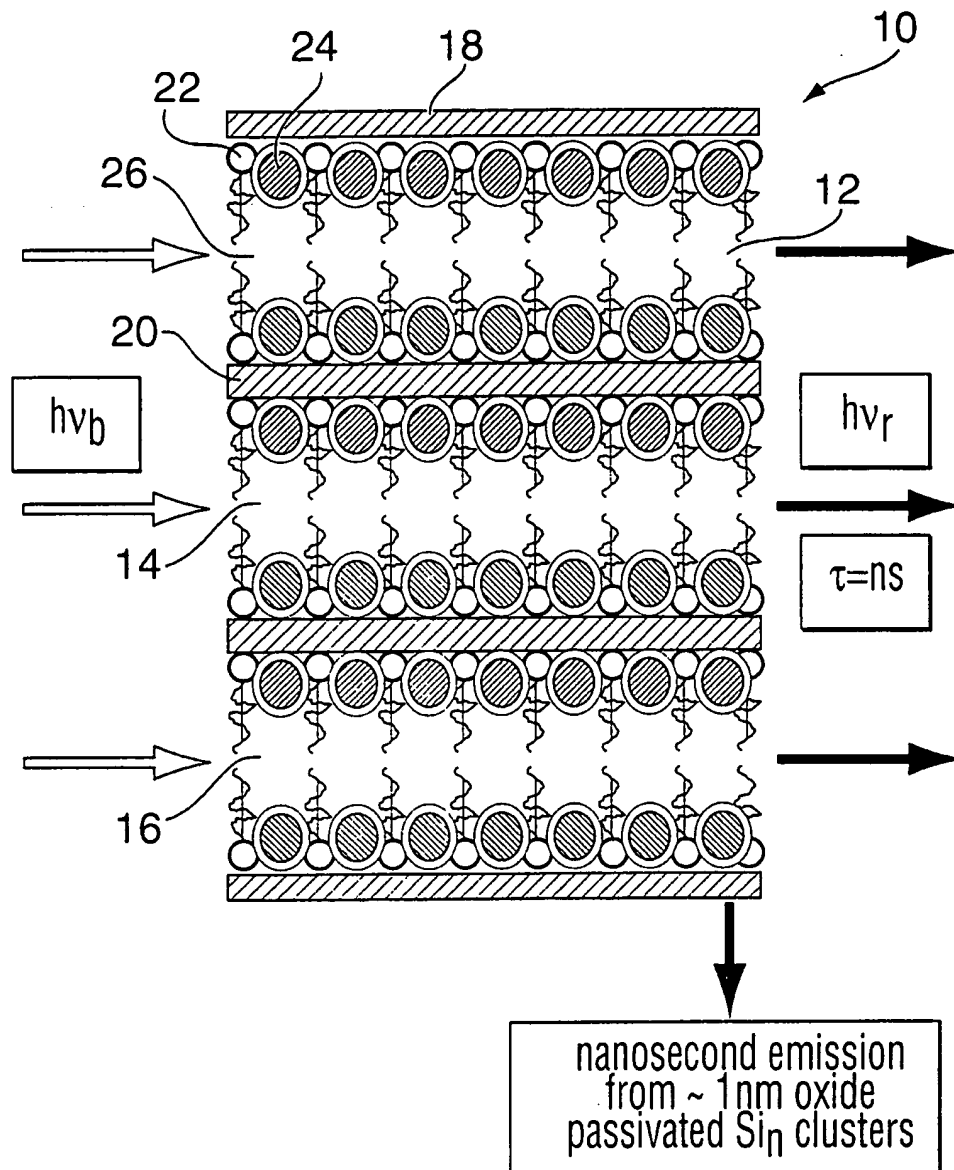
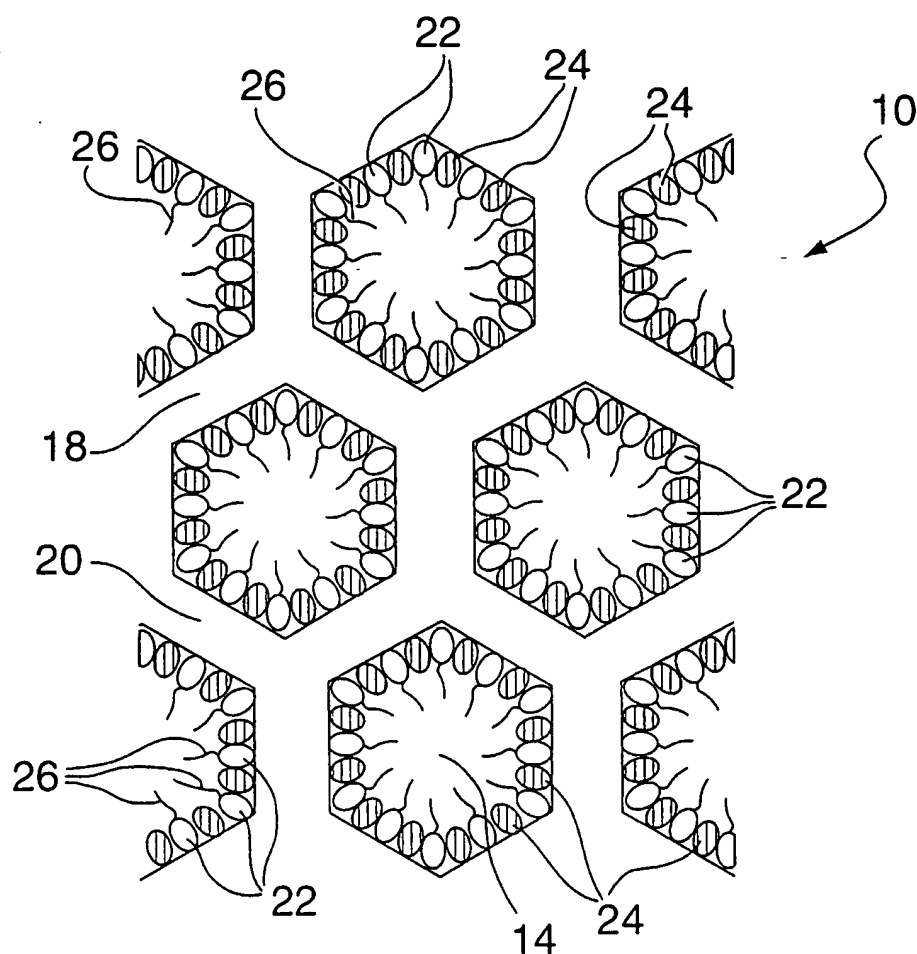
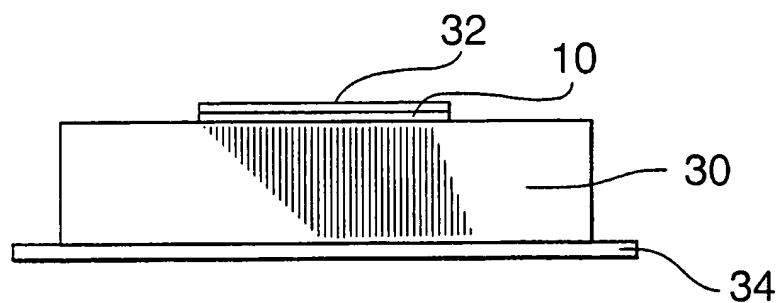


FIG.1

WO 99/65085

PCT/CA99/00521

2/6

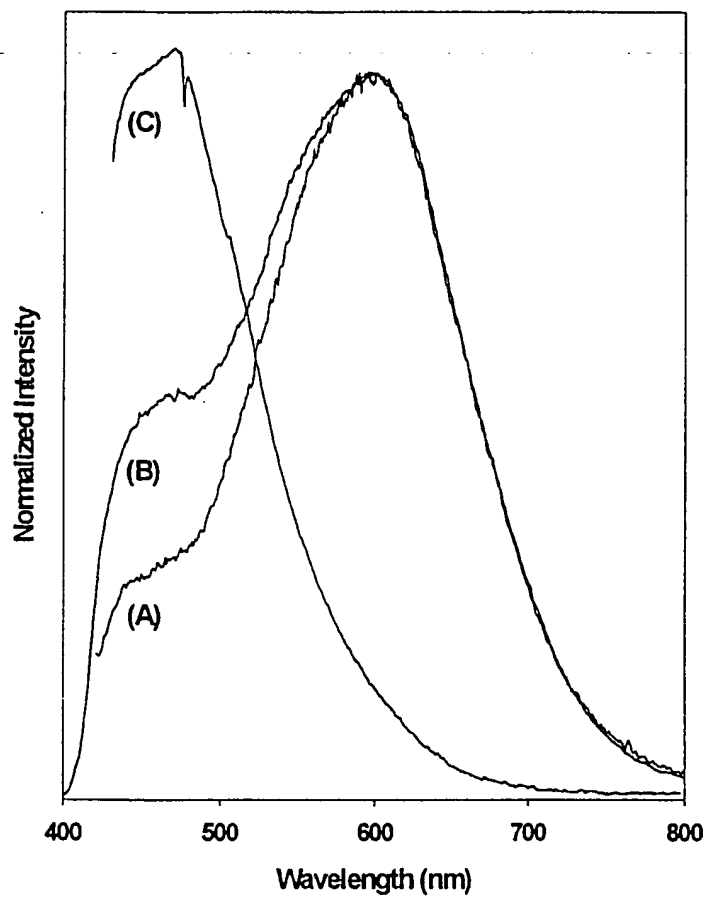
**FIG. 2****FIG. 3**

WO 99/65085

PCT/CA99/00521

3/6

Figure 4

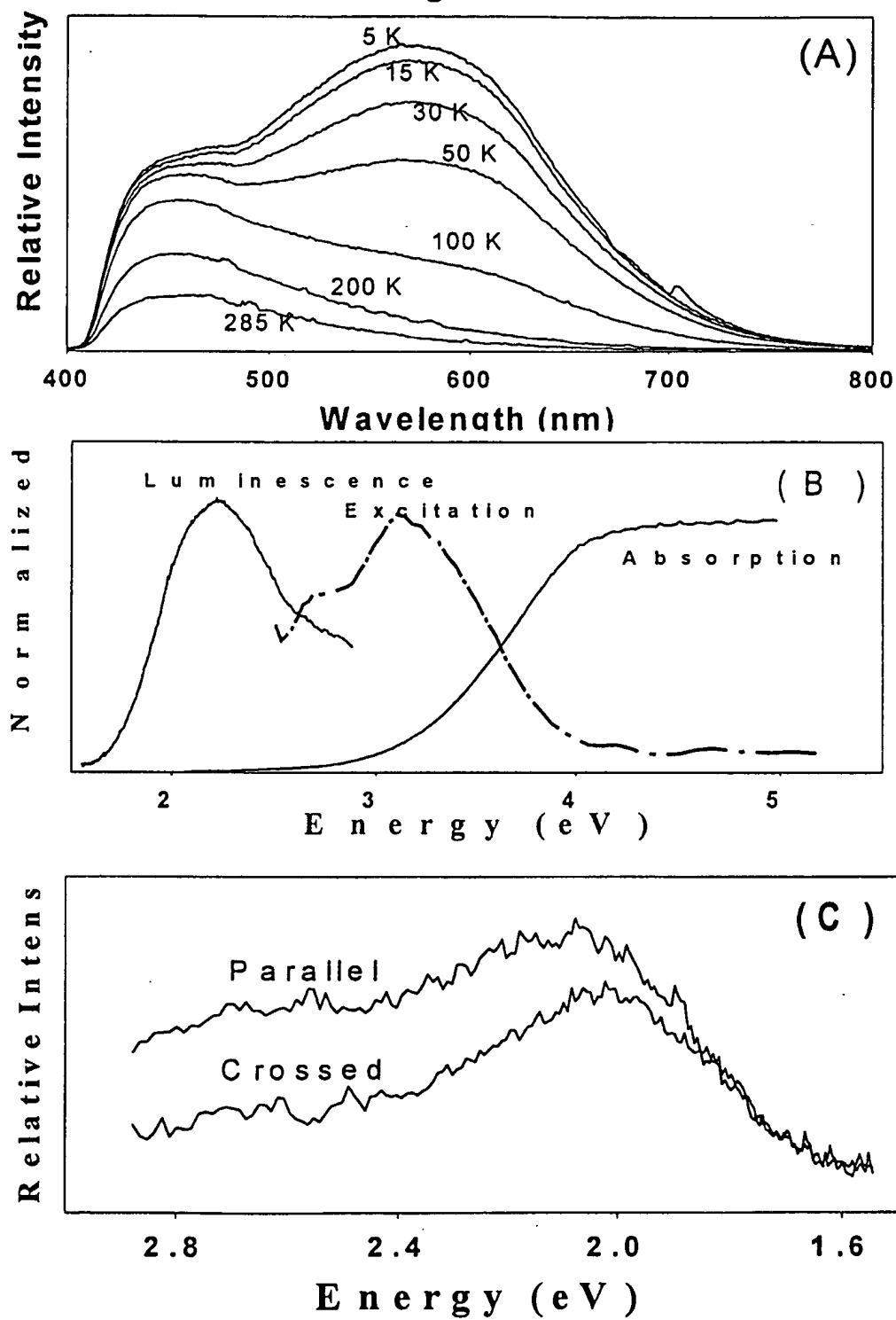


WO 99/65085

PCT/CA99/00521

4/6

Figure 5

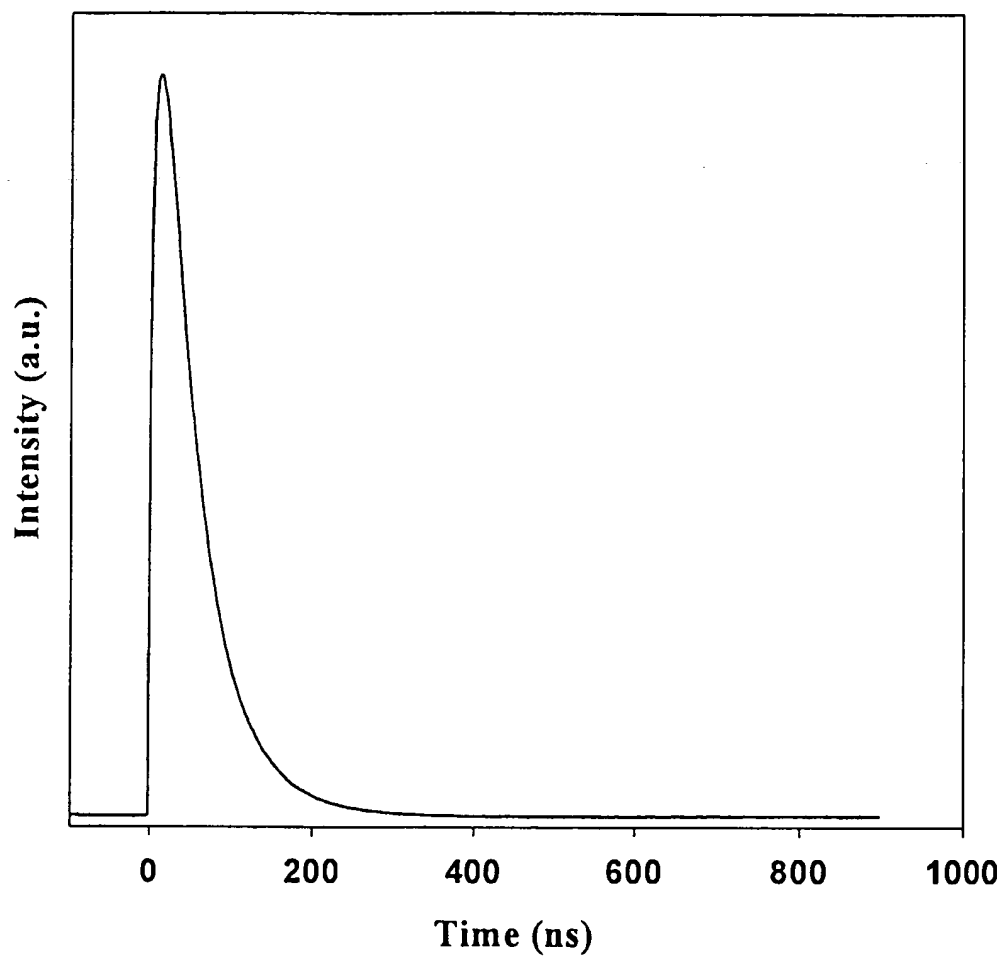


WO 99/65085

PCT/CA99/00521

5/6

Figure 6

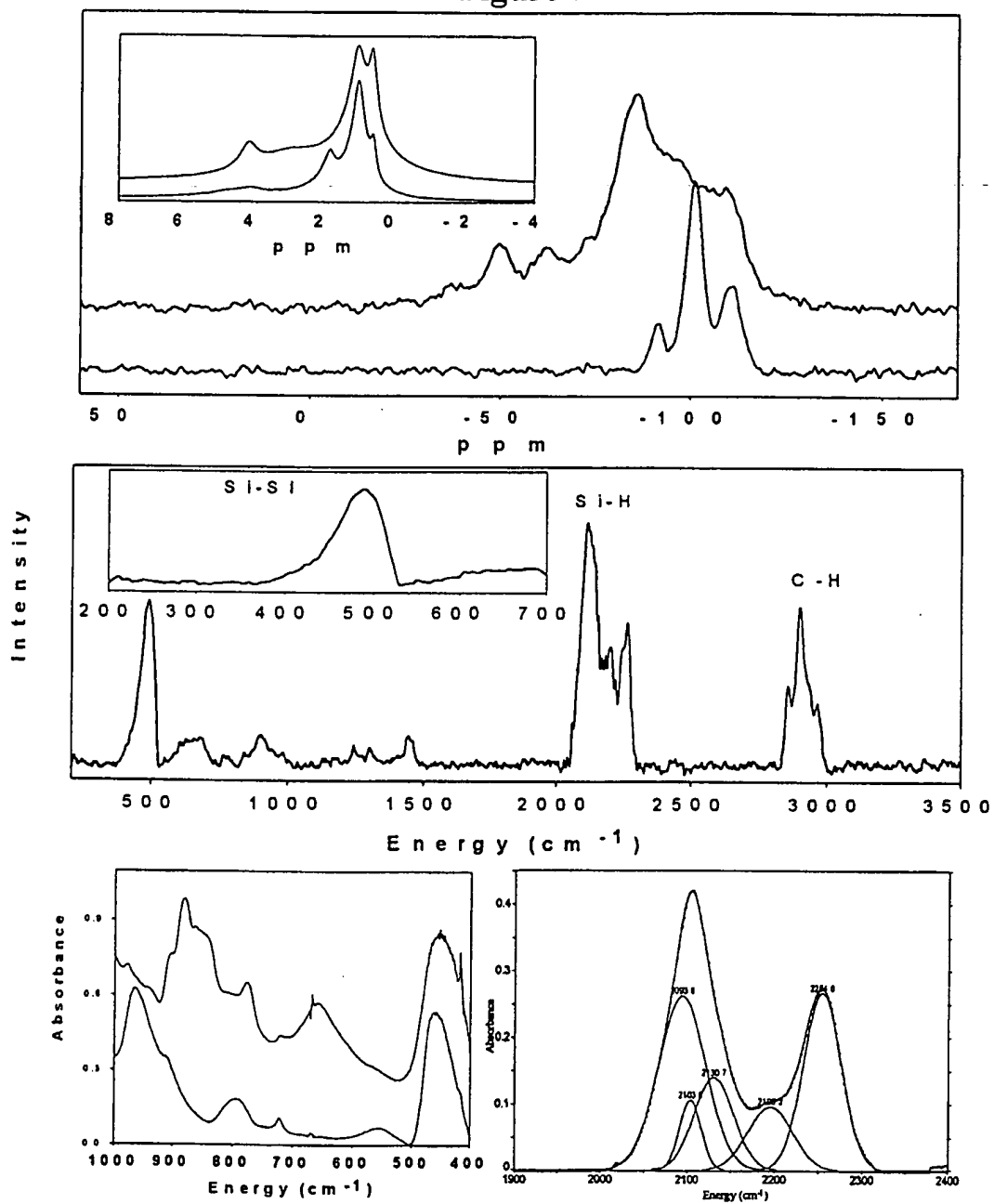


WO 99/65085

PCT/CA99/00521

6/6

Figure 7



INTERNATIONAL SEARCH REPORT

International Application No

PC1/CA 99/00521

A. CLASSIFICATION OF SUBJECT MATTER
IPC 6 H01L33/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 H01L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	CHOMSKI E ET AL: "NEW FORMS OF LUMINESCENT SILICON: SILICON-SILICA COMPOSITE MESOSTRUCTURES" CHEMICAL VAPOR DEPOSITION, vol. 8, no. 1, 1 January 1996 (1996-01-01), pages 8-13, XP000551325 ISSN: 0948-1907 cited in the application the whole document --- -/--	1-3, 11-14, 26



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

7 September 1999

Date of mailing of the international search report

20/09/1999

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

De Laere, A

INTERNATIONAL SEARCH REPORT

International Application No

PC1/CA 99/00521

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>YANG, H. ET AL.: "Thickness control and defects in oriented mesoporous silica films"</p> <p>JOURNAL OF MATERIALS CHEMISTRY., vol. 8, no. 5, 28 April 1998 (1998-04-28), pages 1205-1211, XP002114529</p> <p>THE ROYAL SOCIETY OF CHEMISTRY, CAMBRIDGE., GB ISSN: 0959-9428</p> <p>cited in the application abstract page 1205, column 2, paragraph 2 - page 1206, column 1, paragraph 1</p> <p>-----</p>	<p>1-3, 26-30</p>